

dissociation energies when modeled by statistical phase-space theory.

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Appendix

Calculation of kinetic energy release distributions, with use of phase-space theory to model the decomposition of metastable ions, requires a number of input parameters. The calculations are fairly insensitive to the parameters listed in Table II, including rotational constants B , symmetry parameters σ , neutral polarizabilities α , and vibrational frequencies ν_i . Values of B were taken from the literature where available³⁴ or calculated from typical Fe⁺-C, C-C,

and C-H bond lengths. Polarizabilities were estimated with the atomic hybrid components method.³⁵ Vibrational frequencies were taken from literature³⁶ where possible or estimated from literature values of similar species. The estimated frequencies were varied over the entire physically reasonable range for these quantities and were found not to affect the details of the kinetic energy release distributions over this range.

The phase-space calculations are quite sensitive to the total energy available for partitioning among the various modes and, hence, to the heats of formation of the reactants and products. The values employed are listed in Table III. As noted in the Results and Discussion, in cases where the heats of formation of all reactants and products save one are known, the remaining heat of formation can be determined by varying it as a free parameter to achieve a fit of the calculated results to the experimental measurements. This method was used to obtain the values for the organometallic ions reported in Table III.

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Comparative Study of Resonance Raman and Surface-Enhanced Resonance Raman Chlorophyll *a* Spectra Using Soret and Red Excitation

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Abstract: Surface-enhanced resonance Raman scattering (SERRS) spectra are reported for chlorophyll *a* adsorbed on a silver electrode at 298 and 77 K with 406.7-, 457.9-, 514.5-, and 647.1-nm excitation. Submerging the electrode in degassed water at 298 K was found to improve the spectral quality by minimizing sample heating and photooxidation. Spectral intensities and peak resolutions were greater at all excitation wavelengths at liquid nitrogen temperature. Most significantly, roughened silver at the low temperature quenched the fluorescence accompanying red excitation and minimized sample photooxidation, resulting in richly detailed SERRS spectra of chlorophyll *a*. The close correspondence between chlorophyll *a* resonance Raman (RR) and SERRS spectra suggests that an electromagnetic mechanism is the major source of the surface enhancement, rather than a chemical mechanism (e.g. a charge-transfer complex between chlorophyll *a* and the metal). The spectral similarities, together with the presence of the MgN₄ vibration band in the SERRS spectra, also provide evidence that structural alterations (e.g. cleavage of ring V or loss of Mg) do not occur in chlorophyll *a* after adsorption at the electrode surface. A distinctive SERRS spectrum was obtained for each excitation wavelength. Selective excitation within the various electronic transitions can thus be utilized to verify assignments of the vibrational modes of chlorophyll *a* and to monitor its interactions and photochemical behavior in biomimetic systems.

Introduction

Chlorophyll *a* (Chl *a*) plays an essential role in the conversion of sunlight into chemical energy in green plants and blue green algae. Chlorophylls are metallochlorins (dihydrogen-reduced metalloporphyrins) found in photosynthetic membranes. The obligatory presence of metallochlorins in lieu of metalloporphyrins in photosynthetic and certain other biological systems is not understood. However, in an attempt to elucidate the structural and photochemical behavior of these compounds, resonance Raman (RR) spectroscopy has been utilized as a probe of the vibrational and electronic structure of metalloporphyrins¹⁻⁴ and, more recently, metallochlorins and chlorophylls.⁵⁻¹² The latter species result from reduction of the C₆=C₆ bond of ring IV of the porphyrin macrocycle. This destroys the in-plane degeneracy and has a

profound effect on the electronic absorption spectrum. The typical B and Q electronic transitions of symmetrical porphyrins are split into *x* and *y* components. In addition, the Q transition gains considerable intensity relative to that observed in porphyrins.

The differences in the chlorin electronic properties are reflected in their RR spectra, which are strongly affected by excitation conditions. Resonance Raman excitation within the strongly allowed B transition results in enhancement of symmetric, in-plane modes arising primarily from Franck-Condon type scatter-

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ing^{9a,10a,d,e,11,13b}, although a small contribution from non-Condon mechanisms has been estimated recently.¹⁴ Excitation within the Q_x band produces enhancement via vibronic coupling between this state and the intense B state(s). Excitation within the Q_{y0} transition has been accomplished in only a few instances by using various subterfuges to avoid or reduce the intense fluorescence from this state.^{7b,c,12,14} For example, Koningstein et al.¹⁴ have recently obtained resonance excitation profiles for two modes in Ni Pheo *a* within the Q_y 0,0 and 0,1 region of the absorption spectrum. Their results indicate significant (ca. 40%) non-Condon activity within this excitation region as a result of vibronic coupling to one or more of the B states. Thus, the RR scattering mechanisms within this transition appear to be much more complex than in the case of porphyrins of higher symmetry.

Several investigations have focused on Raman spectroscopy of isolated chlorophyll pigments as a first step in understanding their role in the photosynthetic membrane.^{10a,b,9,11,15} RR band assignments have been suggested for a number of chlorophylls.¹⁰ The objective of the RR investigations of chlorophyll *a* has been to define the effect of axial coordination¹⁶ on the RR (as well as IR) spectra,^{9a} to identify bands that are both ligation and metal sensitive,^{9,15} and to determine the effects of isotopic substitution on the spectrum in an effort to assign bands to specific vibrations of the macrocycle.¹⁷ Both RR¹¹ and FTIR difference spectroscopy¹⁸ have also been used to characterize the Chl *a* cation radical vibrational spectrum.

In spite of the considerable data available, a complete and unambiguous assignment of the chlorophyll *a* vibrational spectrum has not yet appeared. This is partly due to limitations imposed by the high fluorescence produced at many of the laser excitation wavelengths used to obtain RR spectra of chlorophylls. The use of metal-substituted chlorins and chlorophylls does allow observation of fluorescence-free RR spectra. However, the metal has a significant perturbing effect on the vibrational spectrum as well as on the properties of the chlorophyll (e.g. ligation, aggregation, etc.). Another approach for surmounting the fluorescence problem is the use of CARS spectroscopy. Höxtermann et al.¹⁹ reported

resonance CARS spectra of Chl *a* excited with 694.3 nm. However, this technique is experimentally demanding, and a more straightforward method is needed to determine the RR spectrum of chlorophylls with excitation into the lowest energy $1\pi-\pi^*$ states.

Surface-enhanced Raman scattering (SERS) spectroscopy offers considerable promise for obtaining a complete excitation spectrum of the chlorophylls. This technique has been demonstrated as an effective method for obtaining spectra of highly fluorescent molecules. The enhancement effect can increase Raman scattering intensity by 10^3 – 10^6 -fold, and adsorption of molecules on the SERS-active metal surface results in fluorescence quenching.^{12,20,21} In addition, the coupling of RR enhancement with surface enhancement (surface-enhanced resonance Raman scattering (SERRS)) results in an increase in scattering intensity by a factor of 10^3 – 10^5 over that observed under resonance or surface conditions alone.^{12,21} Many publications have appeared in which attempts were made to determine the theoretical basis for surface enhancement. It is generally accepted that there are two major classes of enhancement mechanisms. Electromagnetic mechanisms attribute the SERS enhancement to increased local electric fields at the metal surface. The increased field strength is due to excitation of the collective oscillations of the conduction electrons (surface plasmons) at the metal surface.^{22–24} Molecular or chemical mechanisms attribute the enhanced scattering to the formation of new excited states via charge transfer (ad-atom) or to the formation of a molecule–metal complex.^{25,26}

In spite of an incomplete understanding of the mechanism, SERS (SERRS) has been used to determine vibrational information from a large number of biologically significant molecules.^{27–29} There have also been a number of studies pertaining to the chlorophylls or chlorophyll derivatives. Uphaus et al.³⁰ obtained SERRS spectra with 457.9-nm excitation for Chl *a*, bacteriochlorophyll *a* and bacteriopheophytin *a* monolayers on silver islands films. Andersson et al.³¹ reported a Q_y -excited SERRS spectrum of Chl *a* multilayers on a silver film. Recently, SERRS was applied to the elucidation of the Raman spectrum of copper chlorophyllin *a*, a chlorin derivative, which was adsorbed on colloidal silver or gold and excited within the blue and red regions of the electronic absorption spectrum.¹²

Previous SERRS studies of Chl *a* have demonstrated that it is possible to obtain spectra under various conditions. The present study is concerned with a detailed comparison between the RR and SERRS spectra of Chl *a* obtained under various conditions of excitation. The use of SERRS provides detailed and highly resolved spectra of Chl *a* adsorbed on a roughened silver electrode. The similarity between the RR and SERRS spectra supports an electromagnetic mechanism as the dominant mode of enhancement at the silver surface and also demonstrates that structural alteration of chlorophyll *a* does not occur at a result of adsorption on the electrode surface. Each excitation wavelength produces a distinctive spectrum, indicating dramatic changes in mode enhancements. These intensity changes provide insights regarding the structural changes accompanying electronic excitation. The

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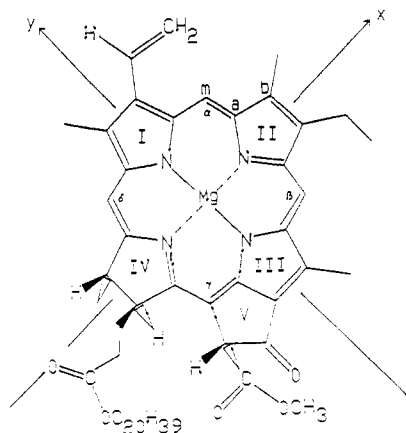


Figure 1. Structural diagram of chlorophyll *a*.

SERRS data also provide additional criteria for band assignments and suggest the mode of interaction of Chl *a* with the surface. Because the purpose of this study is to compare the RR and SERRS spectra of Chl *a*, a detailed discussion of each band assignment will not be given here. Rather, the analysis will be limited to those bands that are most affected by the interaction of Chl *a* with the silver surface.

Experimental Section

Chlorophyll *a* Preparation. Chl *a* (Figure 1) was isolated from spinach according to an established procedure.³² Prior to use, the Chl *a* was purified by isocratic semipreparative reverse-phase high-performance liquid chromatography (rp HPLC) on a Phenomenex C18 guard column and Phenomenex 10 μm C18 25 cm \times 10 mm i.d. column. The mobile phase was acetonitrile-methanol 3:1 (v/v) with a flow rate of 5 mL/min. All solvents were Omnisolv HPLC grade and were degassed prior to use by vacuum filtration. The HPLC equipment consists of a Model 2350 ISCO high-pressure pump, a Model 2360 ISCO gradient programmer, and a Model V4 ISCO absorbance detector set at 445 nm. One milliliter of a Chl *a* solution in the mobile phase was injected into the system and the central portion of the Chl *a* peak was collected. The concentration of the eluent was typically ca. 2×10^{-4} M on the basis of its electronic absorption spectrum.

For RR experiments the purified Chl *a* solutions were placed in 5 mm o.d. Pyrex tubes, vacuum degassed with 3 freeze-pump-thaw cycles, and sealed under vacuum. For SERRS experiments the roughened electrode was immersed in the chosen chlorophyll fraction separated by HPLC. The Chl *a* was adsorbed onto the electrochemically roughened electrode by allowing the electrode to remain in the purified Chl *a* solution (2×10^{-4} M) for 10 min in the dark. The Chl *a* concentration on the electrode surface, approximately monolayer level (1×10^{-10} mol of Chl *a*/cm²), was estimated by sonicating the electrode in CH₂Cl₂ and recording a fluorescence spectrum of the resulting solution. The electrode surface area was determined electrochemically to be 0.28 cm².

The purity of the Chl *a* was monitored and confirmed before and after the Raman experiments by analytical rp HPLC and UV-vis spectroscopy. A Phenomenex Ultrex 3 μm C18 7.5 cm \times 4.6 mm i.d. column was used for the chromatography. Electronic absorption spectra were measured with use of a 1 cm pathlength cuvette and a Shimadzu J-260 UV-vis spectrophotometer.

Electrode Preparation. A silver electrode was used as the SERRS substrate. It was constructed by sealing a flattened silver wire into a glass tube with Torr seal. The exposed surface was rectangular with dimensions approximately 4 \times 7 mm. The electrode was polished with use of successively finer grades of alumina (5.0, 0.3, 0.05 μm). The electrode was rinsed and sonicated between polishing steps. Following the polishing procedure the electrode was cleaned by cathodization at -2.0 V for 1 min and roughened by using an oxidation-reduction cycle (ORC) consisting of a double-potential step from an initial potential of -550 mV to +550 mV and back to -550 mV in 0.1 M Na₂SO₄ solution. The total charge passed during the oxidation step was equivalent to 25 mC/cm². A SSCE (saturated sodium calomel electrode) was used as the reference electrode and a Pt wire served as the auxiliary electrode.

Resonance Raman and SERRS Spectroscopy. Laser excitation of the Raman and surface Raman scattering was provided by a Coherent In-

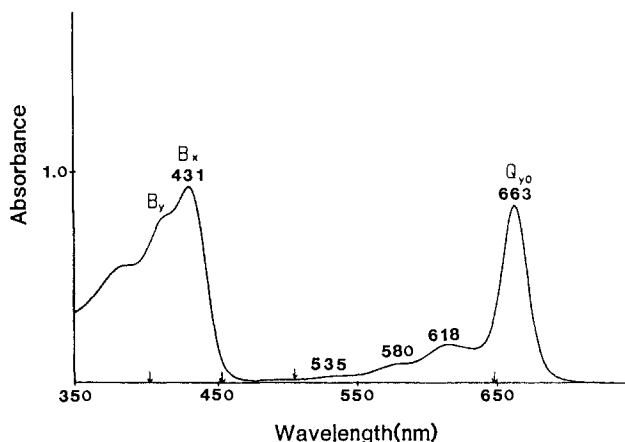


Figure 2. Absorption spectrum of Chl *a* in ACN-MeOH (3:1 v/v).

nova 90 Ar⁺ laser (457.9 and 514.5 nm) or a Coherent Innova 100 Kr⁺ laser (406.7 and 647.1 nm). The laser power was 25 mW for all the spectra reported here. Raman scattered light was collected in a back-scattering geometry.

SERRS spectra obtained with 647.1-nm excitation were recorded with a Spex Triplemate spectrometer coupled to a Princeton Applied Research Corp. (PARC) Model 1421-R-1024HD intensified SiPD detector cooled to -40 °C. The spectra were collected and processed with an OMA-3 (PARC) optical multichannel analyzer. RR and SERRS spectra obtained by excitation at the other wavelengths were recorded with a Model 1420 (PARC) intensified SiPD detector. These spectra were collected and processed with an OMA-2 (PARC) optical multichannel analyzer. The reported spectra are composites of 16 scans, with 5 and 1 s integration periods per scan for the RR and SERRS spectra, respectively.

SERRS spectra of the adsorbed Chl *a* at room temperature (298 K) were obtained by transferring the electrode to a quartz Dewar flask containing degassed HPLC grade water. The Dewar flask was constructed with a transparent body to allow direct acquisition of a SERRS spectrum during electrode immersion in water. Low-temperature (77 K) SERRS spectra of the adsorbed Chl *a* were obtained by transferring the electrode to the quartz Dewar flask containing liquid nitrogen.

Results

Electronic Absorption Spectroscopy. The electronic absorption spectrum of Chl *a*, together with several assigned transitions, is shown in Figure 2. The splitting is much greater between the Q_x and the Q_y transitions than between the B_x and B_y bands. This was originally observed in metallochlorins.^{13a,33} In the Soret region of the spectrum, the B_x transition is strongest and occurs at 431 nm. The B_y transition is present as a blue shoulder at ca. 413 nm. In the green to red region of the spectrum, the four maxima at 663, 618, 580, and 535 nm are assigned as vibronic bands belonging to the Q_y and Q_x transitions. Until recently these bands were assigned as Q_y(0,0), Q_y(1,0), (Q_x(0,0) + Q_y(2,0)), and Q_x(1,0), respectively.³⁴⁻³⁶ There is still agreement that the 663-nm band is Q_y(0,0). However, according to the linear dichroism and fluorescence polarization studies of Fragata et al.,³⁷ the 618-, 580-, and 535-nm vibronic bands belong to Q_x(0,0), (Q_y(1,0) + Q_x(1,0)), and (Q_x(0,0) + Q_y(2,0)), respectively. The Q_x contribution to the 535-nm band is twice that of Q_y and, therefore, this band can still be considered to arise predominantly from an x-polarized electronic transition.

Raman Spectroscopy. The excitation wavelengths used for obtaining RR and SERRS spectra are given in the figure legends and indicated in Figure 2. Table I lists the major RR and SERRS (298 and 77 K) bands and their vibrational assignments. These

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Table 1. Chl *a* RR and SERRS Frequencies (cm⁻¹)

RR		SERRS ^a				assignment ^c
406.7 ^b	457.9 ^b	406.7 ^b	457.9 ^b	514.5 ^b	647.1 ^b	
1684		1677 1684				$\nu\text{C}_9=\text{O}$
1599	1599	1604 1606	1611 1610	1608 1610	1602	$\nu\text{C}_\alpha\text{C}_m(\alpha,\beta)$
1548	1548	1550 1553	1556 1555	1554 1557	1538	$\nu\text{C}_\alpha\text{C}_b(\text{III}); \nu\text{C}_b\text{C}_b(\text{I})$
	1520	1535 1535	1528 1529	1528 1530		$\nu\text{C}_b\text{C}_b(\text{I}); \nu\text{C}_\alpha\text{C}_b(\text{III})$
1488		1490 1494	1490	1493 1496	1490	$\nu\text{C}_\alpha\text{C}_m(\delta); \nu\text{C}_\alpha\text{C}_b(\text{I})$
1433	1433	1429 1437	1433 1437	1444 1437	1429	$\nu\text{C}_\alpha\text{C}_m(\gamma,\delta); \nu\text{C}_\alpha\text{C}_b(\text{I-III})$
			1385 1385	1390 1389	1385	$\nu\text{C}_\alpha\text{C}_b(\text{II}); \nu\text{C}_\alpha\text{C}_m(\alpha,\delta)$
1379	1376	1377 1379	1377 1377	1376 1376		$\nu\text{C}_\alpha\text{N}(\text{IV}); \nu\text{C}_\alpha\text{C}_b(\text{I,III})$
1344	1343	1350 1357	1348 1351	1346 1346	1350	$\nu\text{C}_\alpha\text{N}(\text{IV}); \nu\text{C}_\alpha\text{N}(\text{I,III})$
		1329 1329	1330 1330	1327 1328	1321 1327	$\nu\text{C}_\alpha\text{N}(\text{I}); \delta\text{C}_m\text{H}(\delta)$
1285	1290	1286 1288	1287 1287	1286 1288	1285 1282	$\delta\text{C}_m\text{H}(\alpha,\beta); \nu\text{C}_\alpha\text{N}(\text{II,IV})$
					1262	
1221		1221 1227		1231 1231	1221 1218	$\gamma\text{C}_b\text{H}(\text{IV}); \delta\text{C}_m\text{H}(\delta)$
	1210		1209 1208	1216 1210		$\gamma\text{C}_b\text{H}(\text{IV}); \delta\text{C}_m\text{H}(\delta)$
1182	1182	1181 1186	1184 1186	1184 1186	1180 1185	$\nu\text{C}_m\text{C}_{10}(\text{V}); \delta\text{C}_b\text{H}(\text{IV})$
1147	1150	1141 1144	1146 1146	1153 1159	1139 1143	$\nu\text{C}_\alpha\text{N}(\text{II}); \delta\text{C}_2\text{NC}_\alpha(\text{I})$
		1072			1070	
	1042		1045			$\nu\text{C}_\alpha\text{N}(\text{III})$
		1050	1042	1046	1050	
986	988	987 986	984 978	982 985	981 986	$\nu\text{C}_m\text{C}_\alpha\text{N}(\text{III}); \nu\text{C}_9\text{C}_{10}(\text{V})$
914		914 914	918 914	918 920	911 919	$\delta\text{C}_\alpha\text{C}_b\text{Et}$
791	796	794 793	795 794	795 796	798	$\delta\text{C}_\alpha\text{C}_b\text{Et}$
751		751 752	743	748	743 748	$\delta\text{C}_\alpha\text{C}_b\text{Et}$
	717	707 717	709 717	728		
686	698	698 696	703	695 700	694	
			577 577		569	
	513		498 519		519	
			464 467			
			344 344			
316	314		320			
253			265 274 213 184			

^aThe top frequency is at 298 K and the bottom frequency is at 77 K. ^bExcitation wavelength in nm. ^cAssignments based on those reported by Lutz,^{10a} Hildebrandt et al.,¹² and Boldt et al.^{13a} Mode descriptions are ν = stretch, δ = in-plane deformation, and γ = out-of-plane deformation. C _{α} , C _{β} , C _{m} and the characters in parentheses refer to the macrocyclic positions shown in Figure 1.

assignments are based primarily on the recent normal mode analysis by Boldt et al.^{13a}

High- and low-frequency RR spectra obtained with excitation in the B absorption band are shown in Figures 3 and 4, respec-

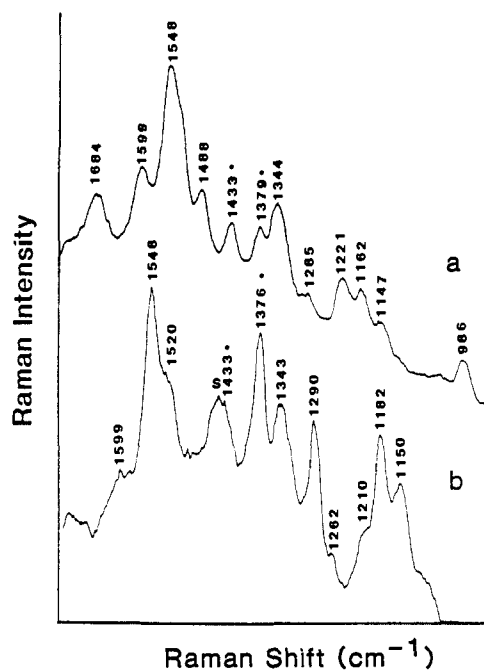


Figure 3. High-frequency RR spectra of 1.6×10^{-4} M Chl *a* in ACN-MeOH (3:1 v/v); laser power 25 mW; acquisition time 80 s; excitation wavelength (a) 406.7 nm and (b) 457.9 nm.

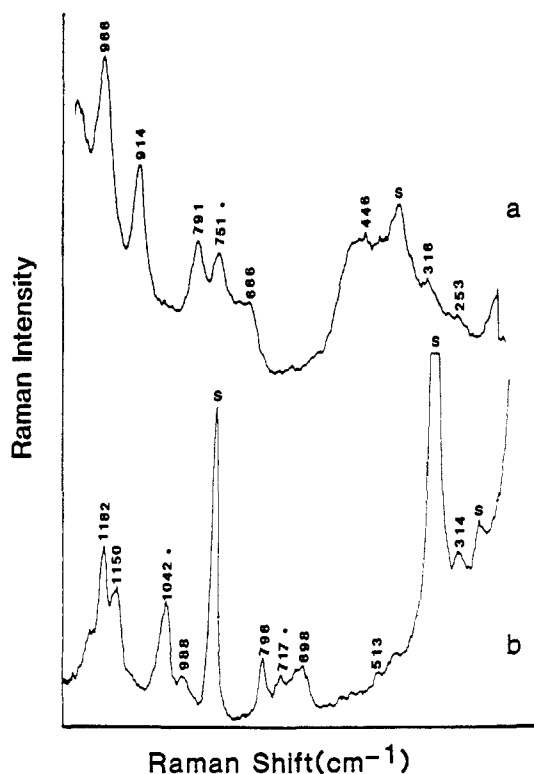


Figure 4. Low-frequency RR spectra of 1.6×10^{-4} M Chl *a* in ACN-MeOH (3:1 v/v). The experimental conditions are the same as in Figure 3.

tively. Figures 3a and 4a depict the spectra resulting from 406.7-nm excitation or in resonance with the B_y transition. Figures 3b and 4b show spectra of Chl *a* obtained with 457.9-nm excitation or in resonance with the B_x transition. The RR spectra are similar to those reported previously and are consistent with Franck-Condon activity of in-plane ring modes in resonance with the strongly allowed B transitions.^{9a,10a,d,e,11} A comparison of the two spectra indicates strong bands at 1548 cm^{-1} ($\nu_{C_aC_b}(\text{III})$; $\nu_{C_bC_b}(\text{I})$) at both excitation wavelengths. The 406.7-nm excited spectrum includes bands at 1684 ($\nu_{C_9=O}$), 1599 ($\nu_{C_aC_m}(\alpha,\beta)$), 1488

($\nu_{C_aC_m}(\delta)$; $\nu_{C_aC_b}(\text{I})$), and 1221 cm^{-1} ($\gamma_{C_bH}(\text{IV})$; $\delta_{C_mH}(\delta)$); these bands are weak or absent in the 457.9-nm excited spectrum. This is reasonable considering that 406.7-nm light produces electronic excitation along the y axis of Chl *a* (Figure 1); the $C_9=O$ bond and ring I and ring III C_aC_b bonds lie parallel, or nearly so, to this axis. Modes containing bonds that are parallel to the electronic transition dipole moment and that are distorted in the excited state are expected to undergo the strongest enhancement. This does not explain the weak enhancement of the $\nu_{C_aC_m}(\alpha,\beta,\delta)$ bands with 457.9-nm excitation, however. Previously, Lutz^{10a} reported a medium-intensity 1599-cm^{-1} band and a very weak 1488-cm^{-1} band using 441.6-nm excitation. Perhaps 457.9 nm is too far removed from the B_x maximum for resonance enhancement of these modes. In contrast, bands at 1290 ($\delta_{C_mH}(\alpha,\beta)$; $\nu_{C_aN}(\text{II,IV})$), 1182 ($\nu_{C_mC_{10}}$; $\gamma_{C_bH}(\text{IV})$), and 1150 cm^{-1} ($\nu_{C_aN}(\text{II})$; $\delta_{C_aNC_a}(\text{I})$) are more intense in the 457.9-nm excited spectrum as compared to their intensities in the 406.7-nm excited spectrum. This also appears acceptable because rings II and IV are aligned along the x axis and modes associated with these rings should be enhanced with laser lines close to the B_x transition. While both the B_x and B_y show strong enhancement of the C_aC_b and C_bC_b modes it appears the 457.9-nm portion of the B_x enhances pyrrole modes associated with the inner bonds of the macrocycle (ν_{C_aN}) and the B_y enhances pyrrole modes associated with the periphery of the macrocycle ($\nu_{C_aC_m}$). The appearance of the MgN_4 bands with 457.9-nm excitation is also supportive of this inner selectivity. Within the $750\text{--}1100\text{-cm}^{-1}$ region, the spectra obtained at the two excitation wavelengths have more similarities than spectra in the high-frequency region. Some differences exist in the $400\text{--}750\text{-cm}^{-1}$ region, but this region has not been previously assigned and will not be discussed here.

Attempts to obtain Raman spectra with 514.5- and 568.2-nm excitation were unsuccessful with use of the solution concentrations employed here (2×10^{-4} M). These lines are not in resonance with the B bands and the Q_x transition is very weak. Fluorescence obscured the RR spectrum at the 647.1-nm excitation.

Figures 5 and 6 show Chl *a* high- and low-frequency SERRS spectra, respectively, obtained at room temperature (298 K) excited within the B and Q bands. The electrode was submerged in degassed water during spectral acquisition, and this appears to stabilize Chl *a* by dissipating sample heating and reducing photooxidation. Thus, the SERRS signals did not degrade during the time required to accumulate 16 scans. High- and low-frequency SERRS spectra of Chl *a* with excitation in the B and Q bands at 77 K are shown in Figures 7 and 8, respectively.

Discussion

Comparison of the RR vs SERRS Spectra. The use of B band excitation allows direct comparison of RR (Figures 3 and 4) and SERRS (Figures 5a,b and 6a,b) spectra. As can be seen the SERRS spectra are clearly surface enhanced and display sharper, better resolved peaks in spite of the fact that the absolute amount of Chl *a* present in the laser beam is ca. 10^7 lower than in the RR experiments. The RR spectra contain solvent bands, as indicated with an "S". The bands marked with an asterisk have contributions from both the solvent and Chl *a*. In contrast, intense SERRS spectra are observed with only very weak contributions from the solvent. This is a consequence of the much stronger interaction between Chl *a* and the Ag surface as compared to the solvent-Ag surface interaction, as well as to the additional resonance contribution to the Chl *a* surface spectrum. Overall, more peaks are observed in the low-frequency SERRS spectra as compared to the low-frequency RR spectra, especially with 457.9-nm excitation.

A similar, though not identical, intensity pattern is also noted on comparing the RR (Figures 3 and 4) and SERRS spectra (Figures 5a,b and 6a,b). The similarity of the RR and SERRS frequencies is indicative of an electromagnetic enhancement mechanism.^{22-24,26,38} Chemical enhancement mechanisms result in frequency shifts as well as relative intensity changes in the

Table II. Comparison of RR and SERRS Bands (406.7- and 457.9-nm Excitation)

RR, cm ⁻¹	Δ , ^d cm ⁻¹		Δ ^d inten	assignment ^a
	406.7 nm	457.9 nm		
1599	+7	+11	>	$\nu_{C_a C_m(\alpha, \beta)}$
1548	+5	+8	>	$\nu_{C_a C_b(III)}$; $\nu_{C_b C_b(I)}$
1520	<i>b</i>	+9	>	$\nu_{C_b C_b(I)}$; $\nu_{C_a C_b(III)}$
1488	+6	<i>b</i>	>>	$\nu_{C_a C_m(\delta)}$; $\nu_{C_a C_b(I)}$
1385	<i>b</i>	<i>b</i>	>	$\nu_{C_a C_b(II)}$; $\nu_{C_a C_m(\alpha, \delta)}$
1379	0	split	<i>c</i>	$\nu_{C_a N(IV)}$; $\nu_{C_a C_b(I, III)}$
1344	+13	+8, split	<i>c</i>	$\nu_{C_a N(I, III)}$; $\nu_{C_a N(IV)}$
1330	<i>b</i>	<i>b</i>	>	$\nu_{C_a N(I)}$; $\delta C_m H(\delta)$
1290	+3	-3	0	$\delta C_m H(\alpha, \beta)$; $\nu_{C_a N(II, IV)}$
1221	+6	<i>b</i>	0	$\gamma C_b H(IV)$; $\delta C_m H(\delta)$
1210	<i>b</i>	-2	0	$\gamma C_b H(IV)$; $\delta C_m H(\delta)$
1182	+4	+4	0	$\nu_{C_m C_{10}(V)}$; $\gamma C_b H(IV)$
1147	-3	-4	0	$\nu_{C_a N(II)}$; $\delta C_a N C_a(I)$

^aSee Table I and Figure 1 for references, abbreviations, and mode descriptions. ^bPeak does not appear in RR spectrum. ^cA portion of the frequency shift and decrease in intensity is due to the decrease in contribution by the solvent. ^d Δ (cm⁻¹) and Δ intensity = SERRS (77 K) value - RR value.

five-coordinate Chl *a*. Thus, a change in coordination may result following emersion of the electrode from the adsorbing solution. Second, relative intensity differences may reflect the orientation of the macrocycle at the metal surface. Electromagnetic enhancement theory attributes the relationship between band intensities and molecular orientation to the coupling of the electric field at the electrode surface with the polarizability tensors of the adsorbed molecule. Bonds that have polarizability tensors perpendicular to the electrode surface are preferentially enhanced.^{39,40}

In order to predict the orientation of the Chl *a* on the surface, it is necessary to consider the nature of the forces involved in the adsorbate-surface interaction. There are two types: polar forces involving the interaction of the porphyrin macrocycle with the surface, and nonpolar forces arising from the phytol tails. Assuming that the Ag surface is hydrophilic, Chl *a* would be expected to interact through a polar functionality. There are several possibilities including the keto and ester groups on rings V and IV. Another possibility is that the vinyl moiety on ring I could interact with the surface through the formation of a π complex with Ag. Consideration of the van der Waal's interactions between the phytol tails suggests that surface-adsorbed structures producing maximal contact between the hydrophobic tails of adsorbed Chl's should be favored. As a result of the balance of these two forces, the adsorption of Chl *a* is expected to resemble that of other amphiphilic species⁴¹ and result in a self-assembled monolayer structure.

An examination of the SERRS bands undergoing the greatest changes in frequency and/or intensity can provide evidence for a particular orientation of Chl *a* at the surface. Table II lists such bands as observed for B_x and B_y excitation. Only the high-frequency region is considered because differences between the SERRS and RR spectra were greatest here. From an analysis of the data shown in Table II, it is doubtful that the chlorophyll macrocycle lies parallel to the plane of the Ag surface for three reasons. First, if Chl *a* were to adsorb flat on the Ag surface, the polarizability tensors involving stretching vibrations of the pyrrole rings would lie parallel to the surface and these modes should not be strongly enhanced. Yet, inspection of Table II shows that these ring modes (1599, 1548, 1520, 1343, 1330 cm⁻¹) are precisely the ones that experience the greatest change at the surface. Second, the out-of-plane modes in the low-frequency region should be enhanced with parallel orientation. However, the low-frequency SERRS spectra are not strongly enhanced relative to the RR spectra. Third, a parallel orientation of the

macrocyclic plane is not possible because of steric hindrance by the substituents on ring IV and V.

On the basis of previous spectral studies of monolayers of Chl *a* and other surface-active porphyrins, it is highly probable that the Chl *a* macrocycle is adsorbed at some angle to the Ag surface. Bocian et al.,⁴¹ in a RR study of monolayer assemblies of Zn(II)-, Cu(II)-, and Co(II)-substituted H₂TOOP [5,10,15,20-tetrakis-[4-(1-octyloxy)phenyl]porphyrins] on glass slides, determined that the porphyrin planes were tilted at an angle of ca. 40°; the planes were 4–5 Å apart and canted at an angle of approximately 47° with respect to one another.

Considering next the likely site of molecule-surface interaction, it is expected that if Chl *a* adsorption occurred through any of the substituents on rings IV or V, the carbonyl vibrations (ester or ketone) should be observed in the SERRS spectra. Enhancement of these modes is to be expected because of proximity of the oxygen functionalities to the surface and also because a component of the polarizability tensor would lie perpendicular to the surface. However, a significant enhancement of the C=O modes is not observed in the SERRS spectra and it is concluded that these groups are not strongly interacting with the surface. A shift and broadening of the C-9 keto group from 1684 (Figure 3) to 1677 cm⁻¹ (Figure 5) is observed in the 406.7-nm spectrum, which may reflect a weak interaction of this group with the surface.

Soriaga and Hubbard⁴² have reported that aromatic molecules adsorbed on smooth platinum electrodes reorient to form structures occupying a minimal surface area. This suggests that adsorption of Chl *a* at only one ring contact is favored over a structure requiring contact of two rings with the surface. Another feature of the interaction of only a single ring with the surface is that this arrangement would favor maximum contact between the phytol tails, i.e., the projection of the macrocycle at the surface is minimal, providing closest packing of the tails.

Although an edge-on orientation of the Chl *a* macrocycle is clearly supported by the SERRS spectra, it is premature to speculate as to which edge is nearest the surface. It is conceivable that there are a number of orientations that result from spontaneous adsorption. Langmuir-Blodgett techniques will be used in future SERRS studies of the chlorophylls in an effort to control orientation.

Figures 5c,d and 6c,d are SERRS spectra of Chl *a* at 298 K with 514.5- and 647.1-nm excitation, respectively. Resonance Raman spectra could not be obtained at these excitation wavelengths. With use of 514.5-nm excitation, the RR scattering was very weak at the solution concentration employed. Excitation at 647.1 nm resulted in intense fluorescence which obscured the RR spectrum. Overall, the SERRS technique provides several major advantages for spectroscopic studies of Chl *a*. These advantages include extremely high sensitivity, fluorescence quenching, and improved spectral resolution for all excitation wavelengths used in this study.

Nonetheless, the Ag surface did not completely quench fluorescence when 647.1-nm excitation was used (Figures 5d and 6d) at 298 K. In fact, in the region 1300–1700 cm⁻¹ (not shown) the Raman peaks were indiscernible from the background. The fluorescence was much weaker in the low-frequency region of the spectrum, but the overall spectral intensity was not as great as that observed with B band excitation (Figures 5a,b and 6a,b).

Surface-Enhanced Resonance Raman Scattering at 77 K. To minimize sample photodegradation and fluorescence, SERRS spectra were recorded at liquid nitrogen temperature (Figures 7 and 8). In general, spectra recorded at this temperature showed a dramatic suppression of broad-band emission, with narrower peaks, and frequency shifts when compared with SERRS spectra at room temperature. The spectral differences between SERRS at 298 and 77 K were greatest with 406.7-nm excitation. As can be seen from the figures, the C₉=O mode is much stronger and is up-shifted to 1684 cm⁻¹ in the low-temperature spectrum. This shift may be indicative of a change in orientation of the macrocycle

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with respect to the surface, a change in the electronic properties of the molecule with temperature, a conformational change in the macrocycle, or some combination of these three possibilities.

Figures 7 and 8 display SERRS spectra of Chl *a* at 77 K excited with various laser lines ranging from the violet to the red region of the electromagnetic spectrum. Changes in the spectra with excitation wavelength are more distinct than at 298 K. The excitation frequency dependent changes will be discussed below. Most significantly, at 77 K the fluorescence was quenched at the roughened Ag electrode and sample photooxidation was minimized, permitting acquisition of the entire spectrum. The remainder of the discussion will include only the SERRS results obtained at 77 K.

It is evident from Figures 7 and 8 that some bands that are strong at one excitation wavelength are not even observed at other excitation wavelengths. Differences in enhancement patterns are expected from a consideration of the differing enhancement mechanisms that are operative under B and Q excitation conditions, as described in the Introduction. The considerable variation in intensity patterns with changes in excitation wavelength was also noted in the RR studies of ClFe^{III} pheophorbide by Andersson et al.³¹ and in the SERRS studies of copper chlorophyllin with silver and gold colloid particles.¹² In the latter study it was concluded that the Ag particles do not significantly perturb the copper chlorophyllin electronic states. Similarly, the data shown here also indicate that the Ag electrode surface does not significantly perturb the Chl *a* electronic states.

Excitation within the B_y absorption band with use of the 406.7-nm line produces a spectrum dominated by totally symmetric Franck–Condon-active modes aligned along the *y* axis of the macrocycle. The spectrum obtained with 457.9-nm excitation is in resonance with the B_x absorption band, and modes composed of bonds aligned along the *x* axis of the macrocycle are preferentially enhanced.

A comparison of the 406.7- and 457.9-nm excited spectra shows that the 1494-cm⁻¹ band in the former is absent and the 1535-cm⁻¹ shoulder is clearly resolved and shifted to the 1529-cm⁻¹ band with 457.9-nm excitation. Also, as previously discussed in the context of the RR spectra, modes in the 1300–1100-cm⁻¹ range are strongly enhanced with B_x but not with B_y excitation. The ν C_aN bands in the 1300–1400-cm⁻¹ region are more highly resolved in the 457.9-nm spectrum. The orientation of the macrocycle relative to the surface results in differing enhancements for the ν C_aN of the various rings. The 1610-cm⁻¹ band ν C_aC_m that appears in the 457.9-nm SERRS spectrum is assigned to C_aC_m(α,β) modes. The low-frequency region of the B_x excited spectrum contains many more peaks than the B_y excited spectrum. The presence of the 320 and 184 cm⁻¹ (MgN₄) bands in the 457.9-nm excited spectrum suggests this an appropriate wavelength for selective monitoring of these modes in the study of photosynthetic reaction centers.

An examination of the 514.5-nm excited spectrum shows that it is very similar to that observed with 457.9-nm excitation. The 514.5-nm wavelength is in resonance with a weak absorption band which has a major contribution from the Q_x(0,0) transition.³⁷ Thus, it is expected that these spectra should be similar because both electronic transitions lie parallel to the *x* axis. Coupling between the B_x and Q_x transitions may additionally occur in the 514.5-nm spectrum. The 514.5-nm excited spectrum particularly resembles the 457.9-nm excited spectrum with regards to the splitting of the ν C_aN modes and the presence of the 1528-cm⁻¹ band. The 1385-cm⁻¹ band of the latter spectrum is well-resolved from the other C_aN modes and is split into bands at 1389 and 1376 cm⁻¹ in the 514.5-nm spectrum.

In addition, the B_x and Q_x excited spectra are similar in the low-frequency region. However, the peaks at 1186 and 1146 cm⁻¹ are much stronger in the B_x than in the Q_x excited spectrum and the MgN₄ vibrations are not enhanced in the latter.

Red excitation within the Q_{y0} absorption band produces a spectrum that has some features in common with all of the other spectra but also presents some unique features. The region most enhanced is between 1250 and 1100 cm⁻¹, where the C–H bending vibrations are found. It has been proposed that the 1218- and

1185-cm⁻¹ bands also contain contributions from out-of-plane C_bH modes.^{13a} The 1100–1300-cm⁻¹ region of the spectrum resembles spectra reported recently for Ni Pheo *a* as obtained with Q_y excitation.¹⁴ The close correspondence between the spectra of these compounds in this region is reasonable because these modes are not metal sensitive.^{10a} As with 457.9- and 514.5-nm light the splitting of the ν C_aN modes occurs in the 1300–1400-cm⁻¹ region. In the low-frequency region the Q_{y0} excited spectrum resembles the B_y excited spectrum in that both have a strong 986-cm⁻¹ band (ν C₉C₁₀(V); δ C_mC_aN). In contrast with the other spectra, only the Q_{y0} excited spectrum displays a strong 748-cm⁻¹ band (δ C_aC_bEt).

The Q_{y0} band is intense and, as noted previously, excitation within this transition results in both Franck–Condon scattering and vibronic activity.^{12,14} Vibrational modes aligned along the *y* axis are expected to have the largest Franck–Condon overlap integrals. However, the bands enhanced with 406.7-nm (B_y) excitation are not the same as those enhanced with 647.1-nm (Q_{y0}) excitation. Among the wavelengths used in this study, only the 406.7-nm excited spectrum displays the peak assigned as ν C₉=O at 1684 cm⁻¹. Because the symmetric C₉=O stretch is aligned along the *y* axis it is expected that this vibration should also appear in the Q_y spectrum, but this is not observed. This implies that the Franck–Condon active modes are different for the B_y and Q_{y0} transitions. Changes in the ground-state configuration with respect to the excited state are not the same for B_y and Q_{y0} excitation.

Conclusions

The spectra presented in this paper are the first SERRS spectra of Chl *a* obtained with laser excitation wavelengths ranging from the violet to the red region of the electromagnetic spectrum. Distinct SERRS spectra were observed for each excitation wavelength, and the enhancement pattern is typical of that reported previously for RR excitation of Chl *a* within the B electronic absorption bands, i.e. Franck–Condon scattering is the major source of enhancement resulting from excitation within the B bands and vibronic coupling mechanisms are dominant for excitation within the Q_x absorption band. A comparison of the RR and SERRS spectra at two excitation wavelengths within the B transitions shows clearly that adsorption of Chl *a* does not cause a significant perturbation of these two electronic states. Only minor frequency shifts are observed in the surface as compared to solution spectra. Thus, surface enhancement results primarily from an electromagnetic mechanism.

The intensity differences between the SERRS and RR spectra may reflect both environmental effects as well as orientation of the macrocycle with respect to the surface. Surface-selection rules predicted by electromagnetic theory can be used to determine orientation. Future studies will include the characterization of Langmuir–Blodgett monolayers of Chl *a* at a metal surface. This technique will allow better experimental control over Chl *a* orientation.

The spectra obtained in resonance with Q_y are of particular importance. Previously, it has not been possible to observe RR scattering from Chl *a* with excitation wavelengths in resonance with this state because of intense fluorescence emission. The fluorescence-quenching advantage of SERRS makes it ideally suited for the objective of this study. A comparison of the SERRS spectrum of Chl *a* with the RR spectrum of Ni Pheo *a*¹⁴ shows that the enhancement pattern is similar, especially in the 1200–1300-cm⁻¹ region of the spectrum which is dominated by C–H bending modes and is relatively insensitive to the central metal ion. This fact, together with the similarity between the SERRS and RR spectra at the blue and green excitation wavelengths, lends further credence to the use of SERRS as a method of choice for observing vibrational spectra of Chl *a* when excited within the Q_y transition. The SERRS spectrum provides unique insights regarding the electronic properties of this state, and this technique should be useful for obtaining resonance excitation profiles within the lowest energy transition.

Resonance Raman spectroscopy has been used extensively for the study of a variety of photosynthetic preparations,⁴³ as well

as for characterizing the isolated chlorophylls. The goal of these studies has been to provide structural information regarding the organization of the chlorophylls within the photosynthetic membrane. Such information elucidates the mechanistic role of these important pigments in the photosynthetic processes (i.e. light harvesting and electron-transfer steps). The results presented herein suggest that SERRS offers a viable approach for the study of intact photosynthetic preparations. Our previous studies of reaction centers⁴⁴ and chromatophore preparations⁴⁵ have already demonstrated that SERRS studies of designated membrane components are feasible. In addition to the fluorescence quenching advantage, SERRS provides extremely high sensitivity, thereby minimizing the amount of material required for spectral analysis. The distance sensitivity can be used to obtain information con-

cerning the spatial relationship of various components to the membrane surface. Finally, the ability to control the potential at an electrode surface suggests that SERRS may also be useful for determining spectra at fixed redox states of the reaction center.

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Communications to the Editor

Synthesis and X-ray Crystal Structure Determination of a Novel Chiral Heteropolyanion: The "3:1" Octadecatungstohexaphosphate¹

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Polyoxometalates² are well-known compounds, which over the past few years have received increasing attention, owed in part to the recognition of their potential in areas such as catalysis,³ photochemistry,⁴ and medicine,⁵ among others. Polyoxotungstophosphates constitute by far the most fertile, rapidly expanding heteropoly system, which shows an amazing structural diversity not encountered in any other heteroatom system. Most polytungstophosphates characterized thus far are obtained from rather acidic solutions (pH < 5) or result from their partial decomposition to lacunary derivatives or condensations⁷ of these lacunary species at appropriate pH. Yet, the bulk of polytungstophosphates present in weakly acidic (pH 5-7) aqueous solutions of WO_4^{2-} and HPO_4^{2-} remain relatively unexplored, even though their existence was reported more than a century ago.^{7,8}

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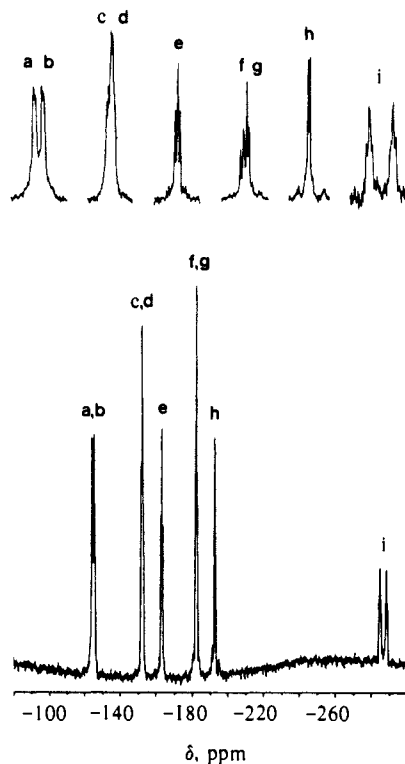


Figure 1. 200-MHz ^{183}W NMR spectrum of a 0.2 M solution of **1** (bottom) and expansion of peaks (top) in D_2O (61 004 transients). Chemical shifts (in ppm) are referenced to saturated $\text{Na}_2\text{WO}_4/\text{D}_2\text{O}$ (substitution method) and negative to high field.

The heteropolyanions present in such nearly neutral solutions generally have a relatively high phosphorus content ($\text{W}/\text{P} < 4$). Two of them, namely, the $[\text{P}_4\text{W}_8\text{O}_{40}]^{12-}$ and $[\text{P}_4\text{W}_{14}\text{O}_{58}]^{12-}$ heteropolyanions,^{9,10} have only recently been fully characterized. There are old reports^{7,8} on the existence of another phosphorus-rich heteropoly compound having a W/P ratio of 3:1, thus far formulated as $[(\text{PW}_3\text{O}_{13})_x]^{3x-}$, but to our knowledge, nothing

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